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## Review

# The mystery of male dominance in oesophageal cancer and the potential protective role of oestrogen

Evangelos Chandanos \*, Jesper Lagergren

Upper Gastrointestinal Research, Department of Molecular Medicine and Surgery, Karolinska Institutet, SE-171 76 Stockholm, Sweden

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## ABSTRACT

Oesophageal cancer is the sixth most common form of cancer death globally with almost 400,000 deaths annually. More than 90% of all cases are either adenocarcinomas (OAC) or squamous-cell carcinomas (OSCC). There is a strong male predominance with up to 8 and 3 men for every woman affected with OAC and OSCC, respectively. It has been hypothesised that sex hormonal factors may play a role in the development of oesophageal cancer or more specifically that oestrogen prevents such development. This article reviews the available literature on this topic. Basic science studies suggest an inhibitory effect of oestrogen in the growth of oesophageal cancer cells, and a possible mechanism of any oestrogen protection might be mediated through oestrogen receptors. But from the few epidemiological studies in which the hypothesis of oestrogen protection has been tested, no firm conclusions can yet be drawn of the role of oestrogen in human oesophageal cancer aetiology. More evidence from valid and large human studies is needed before any conclusions can be drawn.

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## 1. Introduction

Oesophageal cancer is the eighth most common form of cancer worldwide with an incidence of almost half a million new cases each year.<sup>1</sup> It is a disease with a poor prognosis with a 5-year survival rate in the Western societies of only 10–16%.<sup>2</sup> In 2002 almost 400,000 deaths occurred due to oesophageal cancer worldwide, making it the sixth most common form of cancer death globally.<sup>1</sup> Oesophageal adenocarcinoma (OAC) and oesophageal squamous-cell carcinoma (OSCC) are the dominating histological types of malignant oesophageal tumours, as these two forms together constitute more than 90% of all cases.<sup>3</sup> Up to a few decades ago only a low percentage of oesophageal cancers was OAC.<sup>4,5</sup> During the last dec-

ades, however, a rapid increase in the incidence of OAC has been observed, particularly among males.<sup>3,6–9</sup> The increase has been most notable among Caucasians, and by the mid-1980s OAC constituted one third of all cases of oesophageal cancer in that group in the United States.<sup>6</sup> An increase in the incidence of OAC and a decrease in the incidence of ESSC have been reported in several European countries.<sup>10–18</sup>

## 2. Aetiology of oesophageal adenocarcinoma

Gastroesophageal reflux and Barrett's oesophagus (BO), an oesophageal metaplasia caused by reflux, are strongly linked with the risk of OAC.<sup>19–22</sup> Another established risk factor is high body mass index.<sup>23–29</sup> Tobacco smoking plays a moderate

\* Corresponding author. Address: Norra Stationsgatan 67, II Karolinska Institutet, SE-171 76 Stockholm, Sweden. Tel.: +46 8 517 709 57; fax: +46 8 33 15 87.

E-mail address: [evangelos.chandanos@ki.se](mailto:evangelos.chandanos@ki.se) (E. Chandanos).  
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role as a risk factor for this cancer,<sup>24,25,30,31</sup> while alcohol drinking is not associated with OAC.<sup>24,25,30,31</sup> Among the few studies addressing *Helicobacter pylori* (*H. pylori*) infection in relation to risk of OAC, most investigations suggest that such infection protects against this tumour,<sup>32–34</sup> but not all agree<sup>35</sup> and has recently been challenged by a large Swedish cohort study.<sup>36</sup> A diet rich in fruit and vegetables offers protection, while the diet rich in fat and cholesterol increases the risk.<sup>37</sup> Some socioeconomic factors, including low socioeconomic status and living without a partner, seem to be linked with an increased risk of OAC.<sup>31,38</sup>

### 2.1. Aetiology of oesophageal squamous-cell carcinoma

Alcohol consumption and tobacco smoking are the main risk factors for developing OSCC, and in combination they further increase this risk substantially.<sup>30</sup> Other risk factors are low socioeconomic status,<sup>31,38,39</sup> and a diet low in fruit and vegetables.<sup>40</sup> Drinking hot beverages has been associated with an increased risk in some populations,<sup>41</sup> but this has not been confirmed in Western populations.<sup>42</sup> Achalasia,<sup>43</sup> previous caustic injury of the oesophagus<sup>44</sup> and Plummer-Vinson syndrome<sup>45</sup> are uncommon, but established risk factors for OSCC.

## 3. Male predominance

One of the most intriguing observations in the occurrence of OAC is the very strong male predominance, a worldwide observation. A male to female sex ratio of up to 8:1 has been reported in Western societies.<sup>8–10,12,46</sup> This ratio is not readily attributed to differences in the prevalence of known risk factors between the sexes, since the distribution of reflux, obesity and *H. pylori* is similar between genders. Interestingly, increasing abdominal diameter has been associated with an increased risk of OAC and BO, with a seemingly stronger link than high BMI alone.<sup>47–50</sup> This could partially explain the male dominance, since the fat distribution in men is predominantly abdominal. The reasons behind the sex difference in fat distribution are not completely understood<sup>51</sup> but some evidence implies that hormonal factors may play a role, including the fact that the fat distribution changes after menopause<sup>52,53</sup> and oestrogen receptors have been found in adipose tissue.<sup>54,55</sup> The incidence of BO is higher in males,<sup>56,57</sup> and most mysterious is the fact that there is a shift of about 20 years between males and females in its onset.<sup>58,59</sup> The relevance of these findings has been recently confirmed in a study from Scotland which showed a delay of 17 years in the incidence of OAC between males and females.

Moreover, leptin, an adipokine secreted by adipocytes which is closely associated with obesity,<sup>60</sup> has recently been linked with BO in men, but not in women.<sup>61</sup> This sex difference may be attributed to different leptin secretion patterns between men and women,<sup>62</sup> which theoretically may be due to hormonal differences between the sexes. It has been hypothesised that endogenous oestrogen may protect women against the development of OAC.<sup>63</sup>

The 3:1 male preponderance in the incidence of OSCC can be explained by the higher prevalence of tobacco smoking

and alcohol drinking among males.<sup>64</sup> A study from Denmark though showed, similar to the findings of a delay in the onset of OAC in females mentioned above, a continuous rise in the incidence of OSSC in elderly females, in contrast to a decline in males of the same age group.<sup>65</sup> The hypothesis of sex hormonal influence in OSCC cannot be dismissed.<sup>66</sup>

## 4. Oestrogen exposure and oesophageal cancer – molecular mechanisms

Oestrogen exerts its biological actions through the activation of two nuclear receptors, oestrogen receptors (ERs) alpha (ER $\alpha$ ) and beta (ER $\beta$ ), with distinctive tissue distribution and a counteracting function.<sup>67–69</sup> Some research suggests that ERs might mediate a protective effect on oestrogen in the development of oesophageal cancer. The presence of ERs has repeatedly been shown in OAC<sup>70–73</sup> as well as in OSCC,<sup>73–75</sup> and both ER $\alpha$  and ER $\beta$  have been identified in oesophageal tissue.<sup>70,71,73,76</sup> In addition, a splicing variant of ER $\beta$ , namely ER $\beta$ cx,<sup>76,77</sup> has been discovered in oesophageal cancer and its precursor lesion, i.e. Barrett's metaplasia.<sup>72</sup> An *in vitro* study indicated that the inhibition of oestrogen on oesophageal carcinogenesis is mediated by the interaction with ERs as only oesophageal cancer cells with ERs were inhibited by oestrogen exposure, and not the cells without ERs.<sup>78</sup> Moreover, oestrogen may initiate apoptosis in oesophageal cancer cells<sup>79</sup> and it can be induced by an increased ratio between the pro-apoptotic Bax protein and the anti-apoptotic Bcl-2 protein.<sup>80</sup> Studies of ERs on knockout mice have shown that ER $\beta$  has pro-apoptotic and pro-differentiating functions.<sup>81</sup> The specific role of each ER type in oesophageal cancer remains unknown. In cancer of the prostate,<sup>82</sup> breast,<sup>83</sup> stomach<sup>84,85</sup> and colon,<sup>86</sup> a decreased ER $\beta$  expression compared to normal tissue has been shown. In an immunohistochemical study on OSCC specimens from 73 patients, 47 (64%) were positive for ER $\alpha$  while only 21 (29%) expressed ER $\beta$ . Moreover, a positive expression of ER $\alpha$  in addition to negative expression of ER $\beta$  was an unfavourable independent prognostic factor in OSCC.<sup>75</sup> In addition, a reduction in the expression of E-cadherin, a cell adhesion molecule that correlates with the development and progression of OSCC, has been shown in patients with invasive OSCC.<sup>87</sup> Interestingly, E-cadherin is also reduced in tissues of mice lacking ER $\beta$ .<sup>88</sup> One could thus speculate that ER $\beta$  may mediate a protective effect against oesophageal cancer growth.

## 5. Oestrogen and other gastrointestinal malignancies

The idea of oestrogen protection with regard to gastrointestinal cancer is not new. The Women's Health Initiative study showed that women using hormone replacement therapy (HRT) with oestrogen and progestin had almost half the risk of colorectal cancer compared to a placebo group.<sup>89</sup> In addition, a meta-analysis of 18 observational studies showed a 20% reduction in the risk of colon cancer among women who had ever used HRT compared to never users.<sup>90</sup> It has also been hypothesised that oestrogen protects women against the development of gastric adenocarcinoma,<sup>91</sup> a hypothesis

that has gained support from several epidemiological<sup>92–97</sup> and animal studies.<sup>98–101</sup> It is therefore reasonable to hypothesise that oestrogen protects women also against oesophageal cancer, particularly since the male predominance in OAC is much stronger than that of colorectal and gastric cancer.

## 6. Oestrogen and oesophageal cancer in basic science studies

Evidence from some *in vivo* and *in vitro* studies suggests that oestrogen may have an inhibitory effect on oesophageal carcinogenesis. Although the male dominance is more striking in the incidence of OAC, basic science study has focused on the effect of oestrogen on OSCC. A suppressing effect of oestrogen on chemical induction of OSCC in mice was reported in 1985<sup>66</sup> [article in Japanese]. The first study conducted on cell lines from human OSCC in 1987 showed an inhibition of malignant cell growth through the oestrogen exposure, and the presence of oestrogen receptors (ERs) in these cell lines was identified.<sup>102</sup> *In vivo* studies of xenotransplanted OSCC cells to nude mice have shown an inhibition of tumour growth with systematic administration of oestradiol, without any difference between male and female mice.<sup>103</sup> The inhibition of cell growth by oestrogen administration has also been shown by some other studies,<sup>78,74</sup> but not all.<sup>104</sup> Finally, a study found that administration of aethinyl oestradiol on mice, pre-treated with various carcinogenic substances in their diet, had both an inhibitory and a stimulatory effect on oesophageal hyperplasia, depending on the carcinogenic substance given.<sup>105</sup> Taken together, most available animal studies seem to support the hypothesis that oestrogen is involved in inhibiting the carcinogenic process of oesophageal cancer.

## 7. Oestrogen and oesophageal cancer in human studies

The studies that have addressed the hypothesis that oestrogen protects against oesophageal cancer development in humans are summarised in Table 1. Unfortunately, not all of these studies did distinguish between OAC and OSCC. A Canadian population-based case-control study found no

association between parity or age at first birth and risk of unspecified type of oesophageal cancer.<sup>106</sup> A pooled analysis of 58 cases of oesophageal cancer and 5619 controls, based on various case-control studies conducted in northern Italy during the period 1983 through 1992, found a positive trend towards an increased risk of unspecified oesophageal cancer with increasing parity, but no association with age at first birth or number of abortions.<sup>107</sup>

In a Swedish population-based case-control study of 63 cases and 141 controls, women with low parity (0–1 children) were not at an increased risk of OAC compared to women of higher parity (odds ratio [OR] 0.93, 95% confidence interval [CI] 0.35–2.49).<sup>108</sup> In a cohort study in Sweden, the risk of OAC was assessed in 100,215 prostate cancer patients, treated with oestrogen, compared to the corresponding background population, but no strong protection of oestrogen exposure was found (standardised incidence ratio [SIR] 0.9, 95% CI 0.5–1.5).<sup>63</sup> In the same cohort, however, the risk of OAC and OSCC combined was slightly decreased among those exposed to oestrogen (SIR 0.8, 95% CI 0.6–1.0). In a nested case-control study utilising data from the General Practice Research Database in the United Kingdom, the largest available computerised database of clinical records and prescriptions from primary care, no decreased risk of OAC was found among women using hormone replacement therapy (HRT) (OR 1.17, 95% CI 0.41–3.32).<sup>109</sup> Similarly, the risk of OSCC or OAC and OSCC combined was not statistically significantly decreased.<sup>109</sup> In a study in which three case-control studies from Italy and Switzerland were pooled, age at menarche was not associated with the risk of OSCC, but use of oral contraceptives (OR 0.24, 95% CI 0.06–0.96) and HRT (OR 0.32, 95% CI 0.09–1.13) was linked with a seemingly decreased risk, and late age at menopause ( $\geq 50$  years) was inversely associated with OSCC (OR 0.43, 95% CI 0.22–0.83).<sup>110</sup> The latter finding must however be interpreted with caution since smokers tend to have earlier menopause,<sup>111</sup> and smoking is a strong risk factor for OSCC. The influence of breastfeeding in the risk of OAC has been investigated in a case-control study of 74 cases and 74 controls in the United Kingdom, indicating a dose-dependently decreased risk of OAC among those who ever breastfed compared to those who never did (OR 0.41, 95% CI 0.20–0.82), while no such association was found for OSCC.<sup>112</sup>

**Table 1 – Epidemiological studies assessing sex hormonal factors in relation to the risk of oesophageal cancer.**

	Cancer studied	Age at menarche	Age at menopause	HRT	Parity	Breast-feeding
Miller et al. (Canada, 1980) <sup>106</sup>	OEC				X	
La Vecchia et al (Italy, 1993) <sup>107</sup>	OEC				↑ Increasing parity	
Lagergren (Sweden, 1998) <sup>63</sup>	OAC, OEC	Oestrogen in men did not affect risk of OAC but ↓ risk of OEC				
Cheng (UK) <sup>112</sup>	OAC					↓ Breast-feeding
Gallus (Italy & Switzerland, 2001) <sup>110</sup>	OSCC	X	↓ Higher age	↓ HRT, ↓ OC		
Lagergren (Sweden, 2005) <sup>108</sup>	OAC				X	
Lindblad (UK, 2006) <sup>109</sup>	OAC, OSCC			↓ HRT (OSCC)		

OEC: oesophageal cancer combined, OAC: oesophageal adenocarcinoma, OSCC: oesophageal squamous-cell carcinoma, HRT: hormone replacement therapy, OC: oral contraceptives, X: no association, ↑: increased risk with and ↓: decreased risk with. Not all associations were statistically significant.

High body mass index is a strong and dose dependent risk factor for OAC also in postmenopausal women.<sup>113</sup> This seems to contradict the hypothesis of oestrogen protection, since adipose tissue is a main source of oestrogen in women.<sup>114</sup> An explanation might be that oestrone, the oestrogen produced in extragonadal tissue, which is the main oestrogen during the postmenopausal period,<sup>114</sup> may not be as potent as 17 $\beta$ -estradiol during the fertile period.

## 8. Anti-oestrogen exposure and risk of oesophageal cancer

If the hypothesis of oestrogen protection is true, exposure to anti-oestrogen might instead increase the risk. Tamoxifen is an anti-oestrogen, acting as a selective oestrogen receptor modulator (SERM) with anti-proliferative action, which is often used in the treatment of breast cancer.<sup>115</sup> In a large population-based cohort study of 138,885 women with breast cancer, a statistically non-significantly 60% increased risk of OAC was found among women who were exposed to tamoxifen compared to unexposed (SIR 1.60, 95% CI 0.83–3.08), while no such association was indicated for OSCC (SIR 0.99, 95% CI 0.59–1.64).<sup>116</sup> In a Danish cohort study of more than 3500 postmenopausal women with a primary surgically treated breast cancer, women who had been exposed to tamoxifen did not have any increased risk of oesophageal cancer (no oesophageal cancer cases observed).<sup>117</sup> Similarly, no increased risk of any gastrointestinal cancer was found among women on tamoxifen therapy in an American study with more than 4000 breast cancer patients.<sup>118</sup> In contrast, a Swedish study of similar size and design found an increased risk of all gastrointestinal cancers combined, but this effect was not attributed to any increased risk of oesophageal cancer.<sup>119</sup> A non-significantly increased risk of oesophageal cancer was found in American investigation utilising data from the Surveillance, Epidemiology and End Results (SEER) Programme (SIR 1.49, 95% CI 0.54–3.24),<sup>120</sup> while no increased risk among women treated with tamoxifen was indicated in a retrospective cohort study from Japan (incidence rate ratio 0.73, 95% CI 0.05–11.7).<sup>121</sup> Most of these studies were, however, obviously hampered by poor statistical power.

Taken together, the available human research addressing oestrogen and anti-oestrogen exposure is contradictory and far from sufficient to establish any role of oestrogen in the aetiology of oesophageal cancer. Since few patients with BO develop OAC,<sup>122</sup> one may hypothesise that oestrogen or anti-oestrogen could affect the development of BA and that they may have little effect on the onset of OAC.

## 9. Conclusions and future research

Most basic science studies seem to suggest an inhibitory effect of oestrogen in the growth of OSCC cells, but from the few epidemiological studies in which the hypothesis of oestrogen protection has been tested, no firm conclusions can be drawn. Since the incidence of oesophageal cancer in women is low, epidemiological studies suffer from problems with low statistical power. Therefore, animal models in which the risk of OSCC and OAC is separately assessed after expo-

sure to either oestrogen or anti-oestrogen would be valuable. Moreover, the utilisation of large, unselected and uniform epidemiological data sources, including pooling of studies, is needed to make conclusive results possible. Until then, it remains uncertain whether oestrogen is involved in the inhibition of oesophageal cancer, and the striking male predominance in the incidence of oesophageal cancer remains mysterious.

## Conflict of interest statement

None declared.

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